

Enhanced Due Diligence Form

Part One: Primary Information

Customer Full
Name

Date of Acct Opening

Anticipated volume/USD:

What is the purpose of Opening the account:

Part Two: Profile Information

Business Activity (For self-employed):

Annual Income

Part Three: Sanction/Penalties

- Have you ever been (client) convicted of a criminal offense by any court in any jurisdiction? If yes kindly provide more details.

- Have you ever (client) sentenced for bribery, market abuse or manipulation by any authority? If yes kindly provide more details.
- Have you ever (client) been subject to travel ban in any jurisdiction? If yes kindly provide more details.

Part Four: Customer Net worth Details

Economic Activity that created the customer's wealth /source of Wealth

Other Property/ Inheritance Details, please specify estimated value

Business Information Details (Including Ownership %)

Net worth Information is validated through:

Bank Statement ☐ Internet Searches ☐ Business Financial Statement ☐

Other, please specify:

Part Five: Transaction Profile

In	Transfer Amount	Number of Transactions	Description\Geography
Transfer			
Out	Transfer Amount	Number of Transactions	Description\Geography
Cash Withdrawal			

- Anticipated Level of Trading Activity (per annum/USD):
- Number of Transactions (Per annum):

Part Six: Approvals

Account officer

Signature

Compliance Officer

Signature

Date